

Resonant Tunneling, Quantum Brownian Motion, and Multichannel Kondo Problem

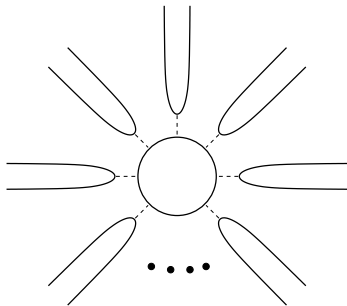
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[H. Yi, PRB **65**, 195101 (2002)]

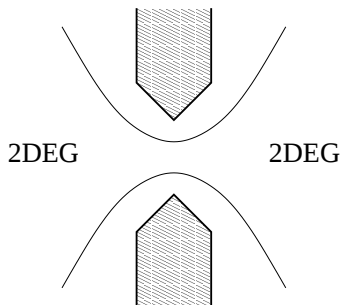
- ➊ Introduction: Resonant tunneling
- ➋ Technique: Bosonization of 1D Electron Gas
- ➌ Mapping I: quantum Brownian motion
- ➍ Mapping II: multichannel Kondo model
- ➎ Summary

- Multilead Quantum Dot



- Coulomb blockade $\rightarrow$ conducting near resonance
- Strongly interacting $\rightarrow$ difficult to solve!!
 - $\rightarrow$ bosonization, CFT, RG, Bethe ansatz, MC, $\dots$

- Quantum Point Contact

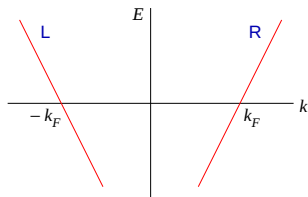


Single transverse mode (transmission probability $T \leq 1$) $\rightarrow$ 1DEG!

Bosonization of 1DEG

[J. von Delft and H. Schoeller, Am. J. Phys. **64**, 1968 (1996), cond-mat/9805275]

- Linear dispersion near Fermi points



Single electron energy:

$$v_F = \frac{1}{\hbar} \frac{d\mathcal{E}}{dk} \implies \mathcal{E} = \hbar v_F (k - k_F)$$

- Hamiltonian of a *spinless* 1DEG ($\hbar = 1$)

$$H = -iv_F \int dx : \left(\psi_+^\dagger \partial_x \psi_+ - \psi_-^\dagger \partial_x \psi_- \right) : \\ + \int dx : \left[g_2 \psi_+^\dagger \psi_-^\dagger \psi_- \psi_+ + \frac{g_4}{2} \left(\psi_+^\dagger \psi_+^\dagger \psi_+ \psi_+ + \psi_-^\dagger \psi_-^\dagger \psi_- \psi_- \right) \right] :$$

- Hamiltonian (continued)

Fourier transform: $\psi_{\pm}(x) = \frac{1}{\sqrt{L}} \sum_k e^{ikx} c_{\pm}(k)$

$$\begin{aligned} H = v_F \sum_k k : & \left[c_+^{\dagger}(k) c_+(k) - c_-^{\dagger}(k) c_-(k) \right] : \\ & + \frac{1}{L} \sum_{kpq} : \left\{ g_2 c_+^{\dagger}(k+q) c_-^{\dagger}(p-q) c_-(p) c_+(k) \right. \\ & \quad + \frac{g_4}{2} \left[c_+^{\dagger}(k+q) c_+^{\dagger}(p-q) c_+(p) c_+(k) \right. \\ & \quad \left. \left. + c_-^{\dagger}(k+q) c_-^{\dagger}(p-q) c_-(p) c_-(k) \right] \right\} : \end{aligned}$$

- Hamiltonian (continued)

$$\rho_{\pm}(q) \equiv \int dx e^{-iqx} : \psi_{\pm}^{\dagger}(x) \psi_{\pm}(x) : = \sum_k : [c_{\pm}^{\dagger}(k-q) c_{\pm}(k)] :$$

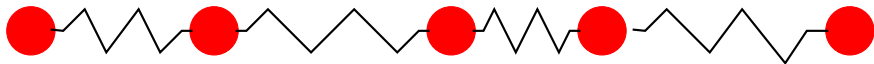
$$[\rho_{\pm}(q), \rho_{\pm}^{\dagger}(q')] = \pm \delta_{qq'} \frac{qL}{2\pi}, \quad [\rho_{+}(q), \rho_{-}^{\dagger}(q')] = 0$$

$$H = \frac{2\pi v_F + 2g_4}{L} \sum_q : [\rho_{+}(q) \rho_{+}(-q) + \rho_{-}(-q) \rho_{-}(q)] : \\ + \frac{2g_2}{L} \sum_q : \rho_{+}(q) \rho_{-}(-q) :$$

Bosonization of 1DEG

- Charge density wave: $\rho_{\pm} = \frac{\partial_x \phi_{\pm}}{2\pi}$

$\phi_{\pm}(x) = 2\pi \times$ spatial **displacement** of electron at position x
= CDW phase



$$[\phi_{\pm}(x), \partial_x \phi_{\pm}(x')] = \pm 2\pi \delta(x - x')$$

$\psi_{\pm}(x) \propto F_{\pm} e^{\pm i\phi_{\pm}(x)}$... kink annihilation operator
(vertex operator in CFT)

- Hamiltonian in terms of CDW

$$\theta = \phi_+ + \phi_- = \text{CDW phase}, \quad \phi = \phi_+ - \phi_- = \text{Josephson phase}$$

$$\implies \frac{\partial_x \theta}{2\pi} = \text{charge density}, \quad \frac{\partial_x \phi}{2\pi} = \text{current density}$$

$$H = \frac{v}{8\pi} \int dx \left[g (\partial_x \phi)^2 + \frac{1}{g} (\partial_x \theta)^2 \right] = 2\pi v \int dx \left[g \Pi_\theta^2 + \frac{1}{g} \left(\frac{\partial_x \theta}{4\pi} \right)^2 \right]$$

$$v = \frac{1}{\pi} \sqrt{(\pi v_F + g_4)^2 - g_2^2}, \quad g = \sqrt{\frac{\pi v_F + g_4 - g_2}{\pi v_F + g_4 + g_2}}$$

$$\text{repulsive} \rightarrow g_2 > 0 \rightarrow g < 1, \quad \text{attractive} \rightarrow g_2 < 0 \rightarrow g > 1$$

$$[\theta(x'), \partial_x \phi(x)] = i4\pi \delta(x - x') \implies \Pi_\theta = \frac{\partial_x \phi}{4\pi}$$

—→ conjugation relation between number and phase!

- Bosonized action

$$\partial_t \theta = i[\theta, H] = 4\pi v g \Pi$$

$$\text{Euclidean action: } S = \frac{1}{8\pi v g} \int d\tau dx \left[(\partial_\tau \theta)^2 + (v \partial_x \theta)^2 \right]$$

- Dual theory: $[\phi(x'), \partial_x \theta(x)] = i4\pi \delta(x - x') \implies \Pi_\phi = \frac{\partial_x \theta}{4\pi}$

$$H = 2\pi v \int dx \left[\frac{1}{g} \Pi_\phi^2 + g \left(\frac{\partial_x \phi}{4\pi} \right)^2 \right]$$

$$S = \frac{g}{8\pi v} \int d\tau dx \left[(\partial_\tau \phi)^2 + (v \partial_x \phi)^2 \right]$$

- Multilead quantum dot action

$$S = S_0 + S_v + S_C$$

$$S_0 = \sum_{a=1}^N \frac{1}{8\pi v_F} \int d\tau dx \left[(\partial_\tau \theta_a)^2 + (v_F \partial_x \theta_a)^2 \right]$$

$$S_v = \sum_{a=1}^N \int \frac{d\tau}{\tau_c} v \cos [2\pi Q_a(\tau)], \quad \left(Q_a = \int_0^\infty dx \frac{\partial \theta(x)}{2\pi} = -\frac{\theta(x=0)}{2\pi} \right)$$

$$S_C = \frac{e^2}{2C} \int d\tau \left[-\sum_{a=1}^N Q_a(\tau) - n_0 \right]^2$$

- Effective action: Caldeira-Legget model of QBM in a periodic potential

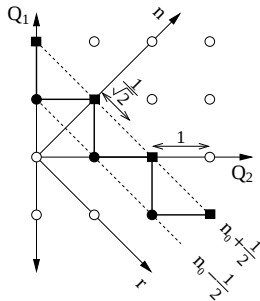
$$S'_{\text{eff}}[\{Q_a\}] = \sum_{a=1}^N \left\{ \frac{1}{2} \int d\omega |\omega| |Q_a(\omega)|^2 - v \int \frac{d\tau}{\tau_c} \cos 2\pi Q_a(\tau) \right\} \\ + \frac{e^2}{2C} \int d\tau \left\{ - \left[\sum_{a=1}^N Q_a(\tau) \right] - n_0 \right\}^2$$

$T \ll e^2/C \implies$ Total charge in the dot ($\sum_a Q_a$) freezes out.

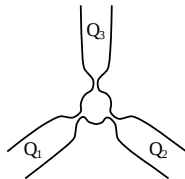
$\longrightarrow$ Coulomb blockade unless $n_0 = \text{half integer}$

Mapping I: Quantum Brownian Motion

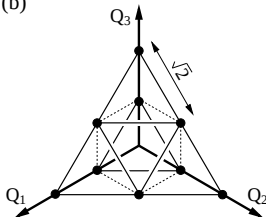
- Lattice models



(a)



(b)



- Lattice models (continued)

$$S = \frac{1}{2} \int d\omega |\omega| e^{|\omega| \tau_c} |\mathbf{r}(\omega)|^2 - \int \frac{d\tau}{\tau_c} \sum_{\mathbf{G}} v_{\mathbf{G}} e^{i2\pi \mathbf{G} \cdot \mathbf{r}(\tau)}$$

($\mathbf{G}$ = reciprocal lattice vector)

$$S = \frac{1}{2} \int d\omega |\omega| e^{|\omega| \tau_c} |\mathbf{k}(\omega)|^2 - \int \frac{d\tau}{\tau_c} \sum_{\mathbf{R}} t_{\mathbf{R}} e^{i2\pi \mathbf{R} \cdot \mathbf{k}(\tau)}$$

($\mathbf{R}$ = direct lattice vector, $\mathbf{G} \cdot \mathbf{R}$ = integer)

- Perturbative RG flow equations (small $v_{\mathbf{G}}$ or $t_{\mathbf{R}}$)

$$\frac{dv_{\mathbf{G}}}{d\ell} = (1 - |\mathbf{G}|^2) v_{\mathbf{G}} + \mathcal{O}(v^2), \quad \frac{dt_{\mathbf{R}}}{d\ell} = (1 - |\mathbf{R}|^2) t_{\mathbf{R}} + \mathcal{O}(t^2)$$

- Linear response and mobility

uniform external force $\mathbf{F} \longrightarrow S_{\mathbf{F}} = - \int d\tau \mathbf{F} \cdot \mathbf{r}(\tau)$

$$\mu_{ij} \equiv \lim_{\mathbf{F} \rightarrow 0} \frac{\partial}{\partial F_j} \langle \partial_t r_i \rangle = \lim_{\omega \rightarrow 0} \frac{1}{|\omega|} \int d\omega' \omega \omega' \langle r_i(\omega) r_j(-\omega') \rangle = \mu \delta_{ij}$$

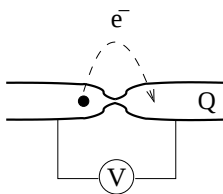
$$= \delta_{ij} - \lim_{\omega \rightarrow 0} \frac{1}{|\omega|} \int d\omega' \omega \omega' \langle k_i(\omega) k_j(-\omega') \rangle$$

$$\begin{cases} \mu = 0, & \text{if } t_{\mathbf{R}} = 0 \\ \mu = 1, & \text{if } v_{\mathbf{G}} = 0 \\ 0 < \mu < 1, & \text{otherwise} \end{cases}$$

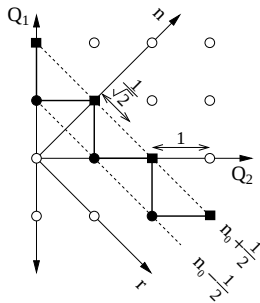
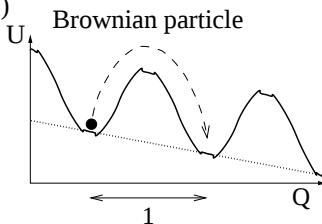
Mapping I: Quantum Brownian Motion

- 1D lattice (2 leads with or without a quantum dot)

(a)



(b)

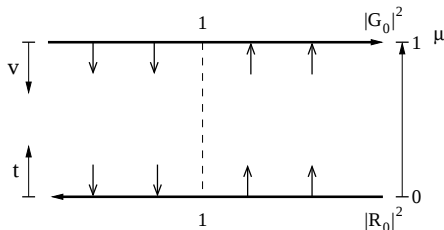


Mapping I: Quantum Brownian Motion

- 1D lattice (continued)

$$R = mR_0 = m/G_0, \quad G = nG_0 = n/R_0$$

$$\frac{dv_G}{d\ell} = (1 - n^2 G_0^2) v_G, \quad \frac{dt_R}{d\ell} = (1 - m^2/G_0^2) t_R, \quad (m, n \neq 0)$$

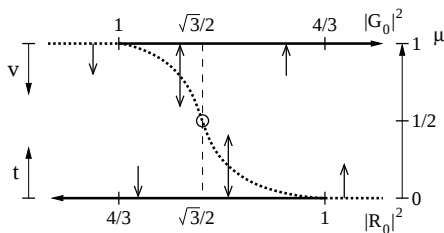


$$\text{Fixed point mobility: } \mu^* = \begin{cases} 0 & \text{if } G_0 < 1, \\ 1 & \text{if } G_0 > 1. \end{cases}$$

Mapping I: Quantum Brownian Motion

- 2D triangular lattice (3 leads, off resonance)

Simplification: symmetric contacts $t_R = t$, for all $\mathbf{R}$

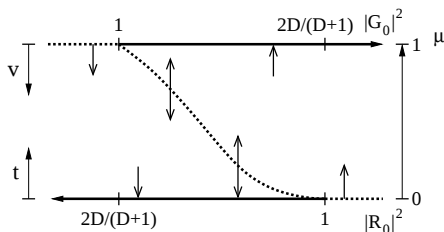


Unstable intermediate fixed point for $1 < |\mathbf{G}_0|^2 < 4/3$!

$$\text{Fixed point mobility: } \mu^* = \begin{cases} 0 & \text{if } |\mathbf{G}_0|^2 < 1, \\ 0 \text{ or } 1 & \text{if } 1 < |\mathbf{G}_0|^2 < 4/3, \\ 1 & \text{if } |\mathbf{G}_0|^2 > 4/3. \end{cases}$$

Mapping I: Quantum Brownian Motion

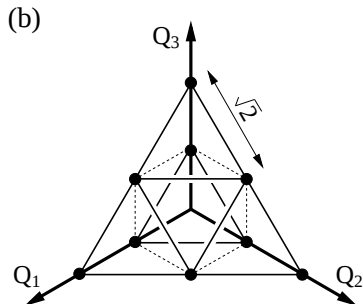
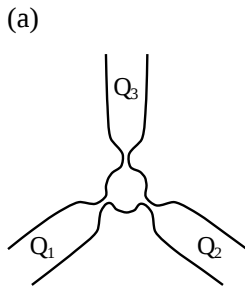
- D -D “hypertriangular” lattice ($D + 1$ leads, off resonance)
(eg: 4 leads $\rightarrow D = 3 \rightarrow$ tetrahedron)



$$\text{Fixed point mobility: } \mu^* = \begin{cases} 0 & \text{if } |\mathbf{G}_0|^2 < 1, \\ 0 \text{ or } 1 & \text{if } 1 < |\mathbf{G}_0|^2 < 2D/(D+1), \\ 1 & \text{if } |\mathbf{G}_0|^2 > 2D/(D+1). \end{cases}$$

Mapping I: Quantum Brownian Motion

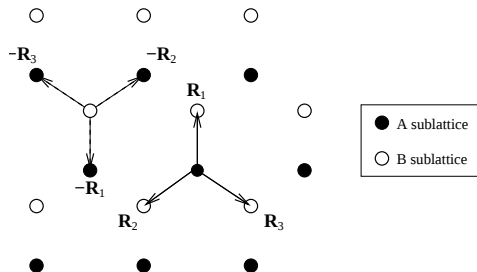
- 2D honeycomb lattice (3 leads, on resonance)



nonsymmorphic lattice!

Mapping I: Quantum Brownian Motion

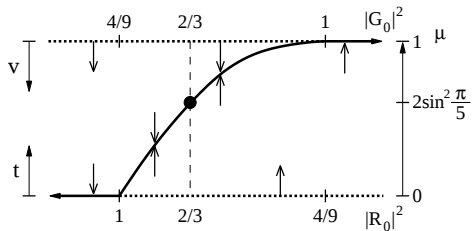
- 2D honeycomb lattice (continued)



$$S_t = -t \int \frac{d\tau}{\tau_c} \sum_a \left(\frac{\sigma^+}{2} e^{i2\pi \mathbf{R}_a \cdot \mathbf{k}} + \frac{\sigma^-}{2} e^{-i2\pi \mathbf{R}_a \cdot \mathbf{k}} \right)$$

Mapping I: Quantum Brownian Motion

- 2D honeycomb lattice (continued)



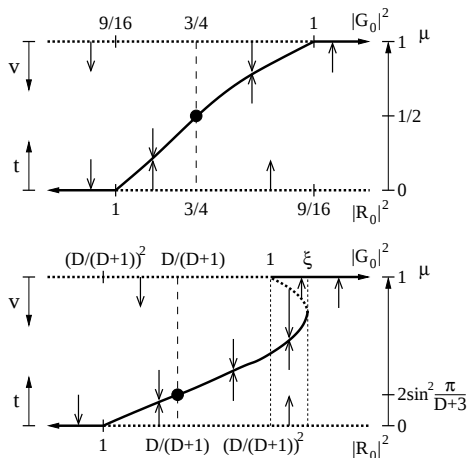
Stable intermediate fixed point!

Fixed point mobility:
$$\begin{cases} \mu^* = 0 & \text{if } |\mathbf{G}_0|^2 < 4/9, \\ 0 < \mu^* < 1 & \text{if } 4/9 < |\mathbf{G}_0|^2 < 1, \\ \mu^* = 1 & \text{if } |\mathbf{G}_0|^2 > 1. \end{cases}$$

Mapping I: Quantum Brownian Motion

- D -D “hyperhoneycomb” lattice ($D + 1$ leads, on resonance)

$$S_t = -t \int \frac{d\tau}{\tau_c} \sum_{a=1}^{D+1} \left(\frac{\sigma^+}{2} e^{i2\pi \mathbf{R}_a \cdot \mathbf{k}} + H.c. \right)$$



- D -D “hyperhoneycomb” lattice (continued)

Fixed point mobility:

$$\left\{ \begin{array}{ll} \mu^* = 0 & \text{if } |\mathbf{G}_0|^2 < D^2/(D+1)^2, \\ 0 < \mu^* < 1 & \text{if } D^2/(D+1)^2 < |\mathbf{G}_0|^2 < 1, \\ 0 < \mu^* < 1 \text{ or } \mu^* = 1 & \text{if } 1 < |\mathbf{G}_0|^2 < \xi, \\ \mu^* = 1 & \text{if } |\mathbf{G}_0|^2 > \xi. \end{array} \right.$$

- Hamiltonian

$$H = H_0 + H_J$$

$$H_0 = i v_F \sum_{a,s} \int dx \, \psi_{as}^\dagger \partial_x \psi_{as}$$

$$H_J = 2\pi v_F \sum_a \left\{ J_z S_{\text{imp}}^z s_a^z(0) + \frac{1}{2} J_\perp \left[S_{\text{imp}}^+ s_a^-(0) + \text{H.c.} \right] \right\}$$

- Boson Hamiltonian

$$H' = \frac{v_F}{8\pi} \int dx \left[(\partial_x \phi^s)^2 + \sum_{i=1}^{N-1} (\partial_x \phi_i^{\text{sf}})^2 \right] \\ + \frac{J_{\perp}}{2\tau_c} \sum_{a=1}^N \left(S_{\text{imp}}^+ \exp \left\{ -i \left[\frac{1}{\sqrt{N}} \left(1 - \frac{N}{2} J_z \right) \phi^s(0) + \sum_{i=1}^{N-1} O_{ai}^{-1} \phi_i^{\text{sf}}(0) \right] \right\} \right. \\ \left. + \text{H.c.} \right)$$

- Generalized Toulouse limit: $1 - \frac{N}{2} J_z = 0 \longrightarrow \phi^s$ is decoupled.

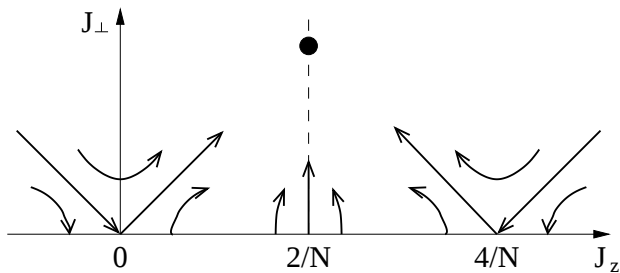
- Euclidean action

$$S_{\text{Kondo}} = \frac{1}{8\pi^2} \int d\omega |\omega| \sum_{i=1}^N |\phi_i^{\text{sf}}(\omega)|^2 \\ + \frac{J_{\perp}}{2} \int \frac{d\tau}{\tau_c} \sum_{a=1}^N \left\{ S_{\text{imp}}^+ \exp \left[-i \sum_{i=1}^{N-1} O_{ai}^{-1} \phi_i^{\text{sf}}(\tau) \right] + \text{c.c.} \right\}$$

→ mapped to **quantum Brownian motion** and **on-resonance tunneling**!

Mapping II: Multichannel Kondo Problem

- RG flow



- Fixed point mobility of on-resonance tunneling

From spin current correlation functions obtained from CFT,

[A.W.W. Ludwig and I. Affleck, NPB **428**, 545 (1994); PRB **48**, 7297 (1993)]

$$\mu_{\text{on resonance}}^* = 2 \sin^2 \frac{\pi}{N+2}$$

- On-resonance conductance: $G = \frac{N\mu}{2} \frac{e^2}{h}$
- Universal resonance lineshape: $G(\delta n_0, T) = \tilde{G} \left(\frac{\delta n_0}{T^{1-\Delta_{\mathcal{H}}}} \right)$

- Mappings between resonant tunneling, QBM, and multichannel Kondo problem are explicitly shown. (Same universality class is shared by seemingly different models.)
- Nonperturbative results of one model may be obtained from known properties of another model.
- It helps to get familiar with many different physical models and systems!