

SEOUL NATIONAL UNIVERSITY – SCHOOL OF PHYSICS

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# **Solid State Physics II**

## **Chapter 8 Magnetism**

Jaejun Yu

[jyu@snu.ac.kr](mailto:jyu@snu.ac.kr)

<http://phya.snu.ac.kr/~jyu/>

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[|Jaejun Yu|jyu@snu.ac.kr|http://phya.snu.ac.kr/~jyu/|Seoul National University|School of Physics|](mailto:jyu@snu.ac.kr)



# Theory of Magnetism

## Reading Assignment:

1. Ashcroft/Mermin, Chap. 31, 32, and 33. [Basic]
2. Kittel, Chap. 14 and 15. [Basic]
3. R.M. White, Quantum Theory of Magnetism [Advanced]



# Magnetism in Materials

Magnetic responses of materials are characterized by the magnetic susceptibility.

$$\mathbf{M} = \chi \mathbf{H}$$

- Pauli paramagnetism: [ Good metals ]

$$\chi_P(T) = \mu_B^2 D(\epsilon_F)$$

- Ferromagnetism: [ Transition metals ]

Curie-Weiss law

$$\chi_F(T) = \frac{C}{T - T_c} \quad (T > T_c)$$

with the Curie constant

$$C = \frac{N}{V} \frac{(g\mu_B)^2}{3k_B} J(J + 1)$$



- Anti-ferromagnetism: [ Insulators, e.g., transition metal oxides ]

$$\chi_{AF}(T) = \frac{C}{T + T_c} \quad (T > T_c)$$

- Diamagnetism: [ molecular insulators ]

$$\chi_{VV} < 0$$

- Perfect diamagnetism: [superconductors]

$$\chi_L = -\frac{1}{4\pi}$$



# Pauli Paramagnetism

Consider a metal under the external magnetic field  $\mathbf{H}$ :

$$\mathcal{H}_0 = \sum_{k\sigma} \epsilon_k n_{k\sigma}$$

$$\mathcal{H}_1 = -\mu_B H m$$

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_1 = \sum_{k\sigma} (\epsilon_k - \sigma \mu_B H) n_{k\sigma}$$

where  $n_{k\sigma} = c_{k\sigma}^\dagger c_{k\sigma}$ ,  $m = \sum_{k\sigma} \sigma n_{k\sigma} = \sum_k (n_{k+} - n_{k-})$  and  $\sigma = \pm 1$ .



Magnetization:

$$M = \langle \Phi | \mu_B \sum_{k\sigma} \sigma n_{k\sigma} | \Phi \rangle = \mu_B (N_+ - N_-)$$

$$|\Phi\rangle = \prod_{k\sigma < k_{F\sigma}} c_{k\sigma}^+ |O\rangle = \prod_{(\epsilon_k - \sigma\mu_B H) < \epsilon_F} c_{k\sigma}^+ |O\rangle$$

$$N_\sigma = \langle \Phi | \sum_k n_{k\sigma} | \Phi \rangle = \sum_{\epsilon_k < \epsilon_F + \sigma\mu_B H} 1 = \int_{-\infty}^{\epsilon_F + \sigma\mu_B H} \frac{1}{2} D(\epsilon) d\epsilon$$

$$N_+ - N_- = \int_{-\infty}^{\epsilon_F + \mu_B H} \frac{1}{2} D(\epsilon) d\epsilon - \int_{-\infty}^{\epsilon_F - \mu_B H} \frac{1}{2} D(\epsilon) d\epsilon = \frac{1}{2} \int_{\epsilon_F - \mu_B H}^{\epsilon_F + \mu_B H} D(\epsilon) d\epsilon = \mu_B D(\epsilon_F) H$$

Therefore, from the magnetization  $M = \mu_B^2 D(\epsilon_F) H$ , we can obtain the  $T$ -independent susceptibility  $\chi_P$

$$\chi_P = \mu_B^2 D(\epsilon_F)$$



# Stoner Theory of Ferromagnetic Metals

Interacting electron gas:

$$\begin{aligned}\mathcal{H}_0 &= \sum_k \epsilon_k n_{k\sigma} + U \sum_{kk'q\sigma} c_{k-q\sigma}^+ c_{k'+q-\sigma}^+ c_{k'-\sigma} c_{k\sigma} \\ &\approx \sum_k \epsilon_k n_{k\sigma} + U \sum_{\sigma} \left( \sum_k n_{k\sigma} \sum_{k'} n_{k'-\sigma} \right) \\ &= \sum_k \epsilon_k n_{k\sigma} + U(N_+ N_- + N_- N_+) \\ &= \sum_k \epsilon_k n_{k\sigma} + U \frac{1}{2} (N^2 - m^2) \\ &= \sum_k \epsilon_k n_{k\sigma} + \frac{1}{2} U N^2 - \frac{1}{2} U m^2\end{aligned}$$



When the external field  $H$  is applied,

$$\mathcal{H}_1 = -\mu_B H m$$

Redefining the energy offset,

$$\mathcal{H} = \mathcal{H}_o + \mathbf{H}_1 - \frac{1}{2} U N^2$$

we have

$$\mathcal{H} = \sum_k \epsilon_k n_{k\sigma} - \frac{U}{2} m^2 - \mu_B H m$$





Introducing the effective mean field  $H_{\text{eff}}$ ,

$$\mathcal{H} = \sum_k \epsilon_k n_{k\sigma} - \mu_B H_{\text{eff}} m$$

$$H_{\text{eff}} = H + \frac{U}{2\mu_B} m$$

$$m = N_+ - N_- = \frac{1}{2} \int_{\epsilon_F - \mu_B H_{\text{eff}}}^{\epsilon_F + \mu_B H_{\text{eff}}} D(\epsilon) d\epsilon \approx \mu_B D(\epsilon_F) H_{\text{eff}}$$

$$m = \mu_B D(\epsilon_F) H_{\text{eff}} = \mu_B D(\epsilon_F) \left( H + \frac{U}{2\mu_B} m \right)$$

$$\therefore m = \frac{\mu_B D(\epsilon_F)}{1 - UD(\epsilon_F)/2} H$$

Since  $M = \mu_B m$ , the Stoner susceptibility  $\chi_S$  becomes

$$\chi_S = \frac{\mu_B^2 D(\epsilon_F)}{1 - UD(\epsilon_F)/2}$$

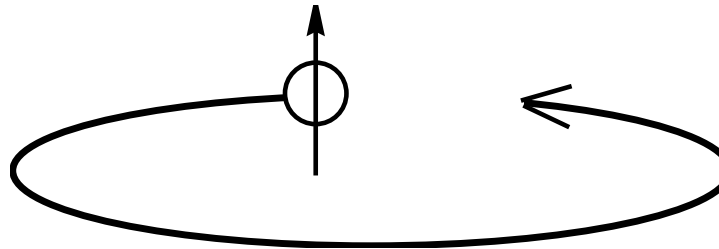
If  $UD(\epsilon_F)/2 > 1$ , then the paramagnetic state becomes unstable!

**Stoner criterion for the ferromagnetic instability**



# Local Magnetic Moment

- Magnetic moment:  $\mathcal{M} = g\mu_B\mathbf{J}$   
proportional to the angular momentum  $\mathbf{J} = \mathbf{L} + \mathbf{S}$ 
  - Spin moment  $\mathbf{S}$
  - Orbital moment  $\mathbf{L}$



# Localized State

- Since the rotational symmetry is preserved, it has the quantum eigenstates  $|jm\rangle$ :

$$\mathbf{J}^2|jm\rangle = j(j+1)|jm\rangle$$

where

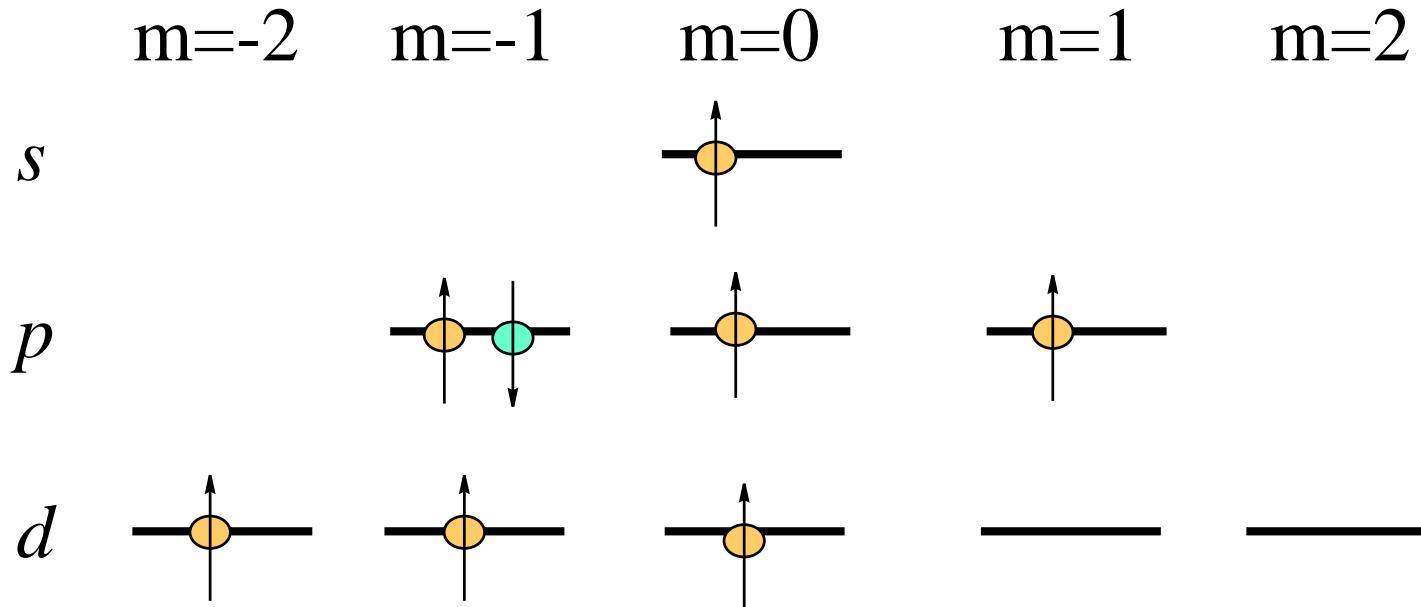
$$\mathbf{J} = \mathbf{L} + \mathbf{S}$$

- If the spherical symmetry is broken, e.g., inside a lattice, the orbital moment can be quenched due to the lack of rotational symmetry, i.e.,

$$\langle \mathbf{L} \rangle = 0$$



# Magnetic Moment of an Atom



# Hund's Rule

1. Maximum total  $S = \max S_z$  with  $S_z = \sum_i m_{si}$   
Obeying the Pauli exclusion principle
2. Maximum total  $L = \max L_z$  with  $L_z = \sum_i m_{li}$   
Minimizing the Coulomb interaction energy
3. Spin-orbit interaction:

$$J = \begin{cases} |L + S| & \text{if less than half-filled} \\ |L - S| & \text{if more than half-filled} \end{cases}$$



# Exchange Energy

- Pauli exclusion principle: anti-symmetric two-particle wavefunction

$$|\Psi(\mathbf{r}_1 \uparrow; \mathbf{r}_2 \uparrow)\rangle = \frac{1}{\sqrt{2}} [\phi_a(\mathbf{r}_1)\phi_b(\mathbf{r}_2) - \phi_b(\mathbf{r}_1)\phi_a(\mathbf{r}_2)] |\uparrow\rangle |\uparrow\rangle$$

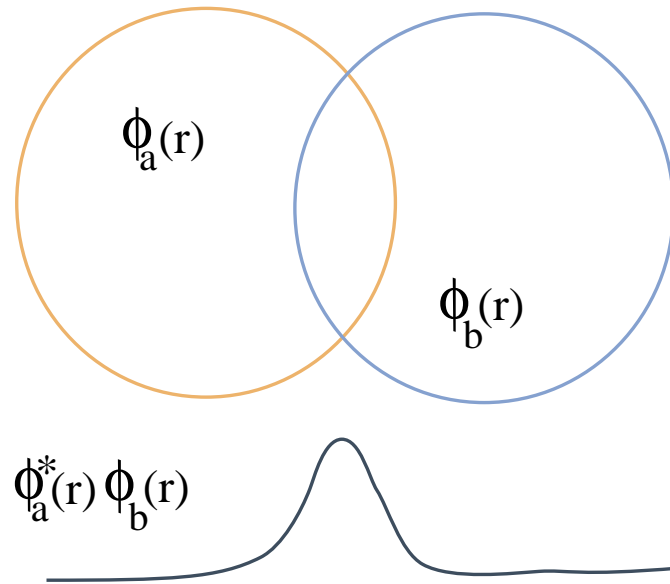
- Coulomb interaction

$$V_C(\mathbf{r}_1 - \mathbf{r}_2) = \frac{e^2}{|\mathbf{r}_1 - \mathbf{r}_2|}$$

$$\begin{aligned} E_{C2} &= \langle \Psi(1, 2) | V_C | \Psi(1, 2) \rangle \\ &= \int d\mathbf{r}_1 d\mathbf{r}_2 V_C(\mathbf{r}_1 - \mathbf{r}_2) |\phi_a(\mathbf{r}_1)|^2 |\phi_b(\mathbf{r}_2)|^2 - \\ &\quad \int d\mathbf{r}_1 d\mathbf{r}_2 V_C(\mathbf{r}_1 - \mathbf{r}_2) \phi_a^*(\mathbf{r}_1) \phi_b^*(\mathbf{r}_2) \phi_a(\mathbf{r}_2) \phi_b(\mathbf{r}_1) \\ &= U_{ab} - J_{ab} \end{aligned}$$



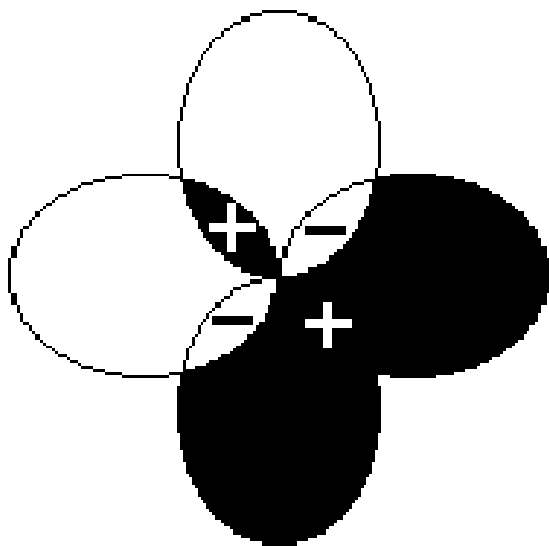
# Exchange Density



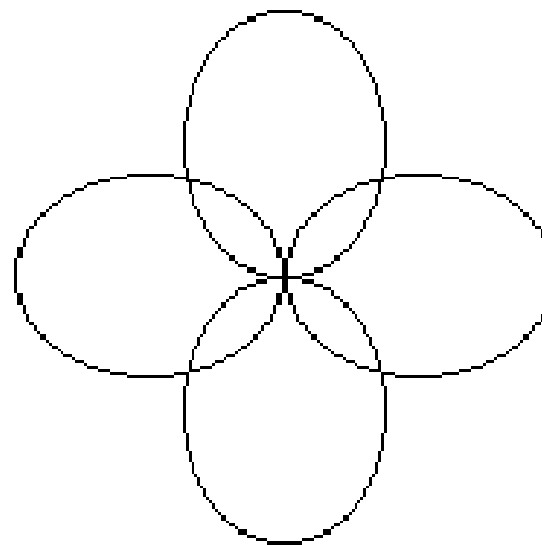
⇒ Gaining more (**negative**) exchange energy by aligning spins:  
Hund's 1st rule



## Overlap and Exchange Integrals of the atomic $p$ -orbitals



$$S_{ab} = 0$$



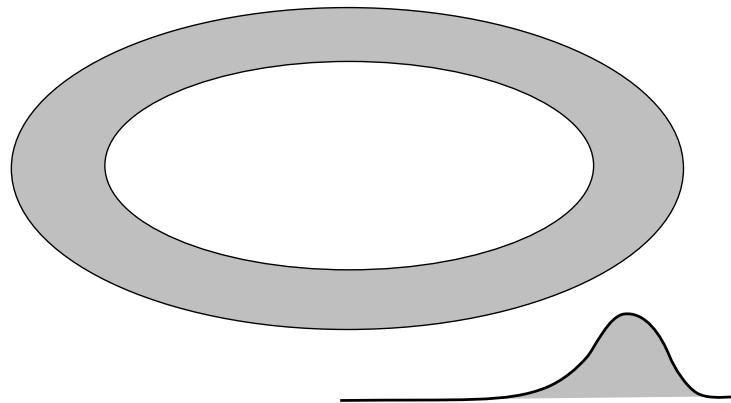
$$K_{ab} > 0$$



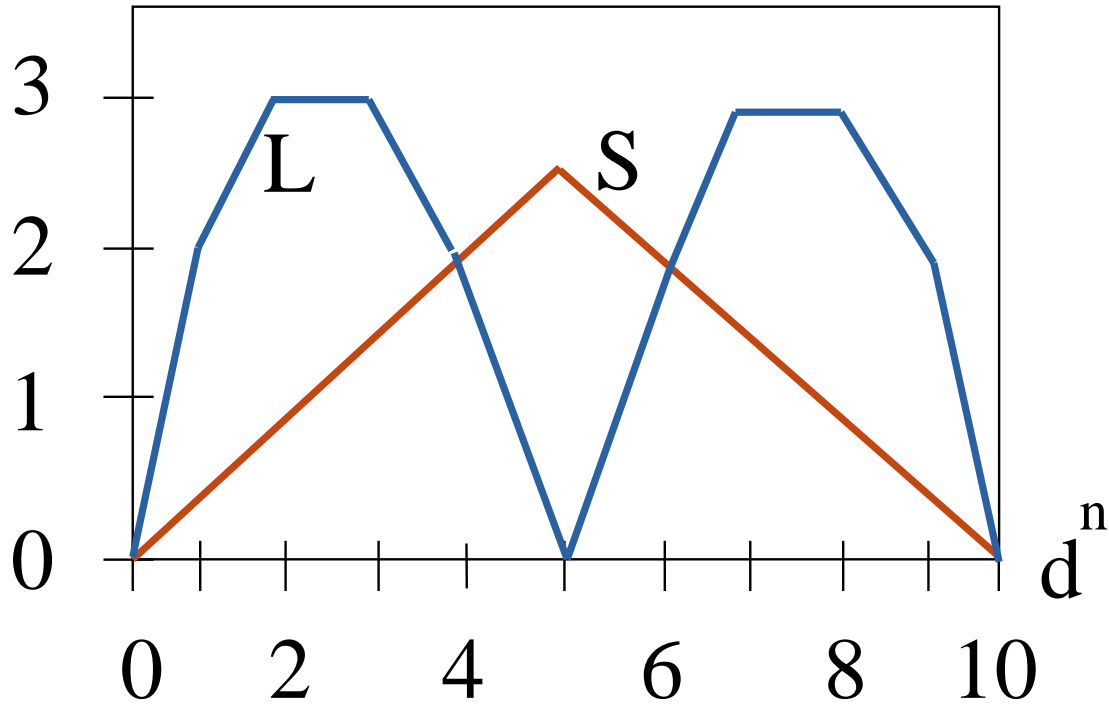
## Minimization of Coulomb Energy Term $U_{ab}$

⇒ by letting  $\rho(\mathbf{r})$  be separated: Hund's 2nd rule

$$Y_{lm}(\theta, \phi) = (-1)^m Y_{l-m}^*(\theta, \phi)$$



# Configuration of 3d Transition Metal Ions



# Spin-Orbit Coupling

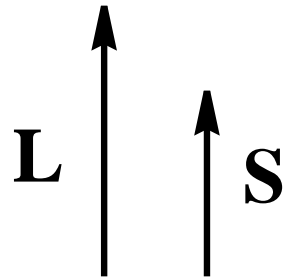
⇒ determined by the sign of the coupling constant

$$\lambda \mathbf{L} \cdot \mathbf{S}$$

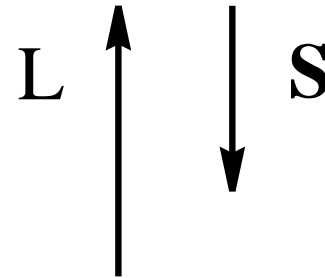
where

$$\lambda \sim -\frac{dV(r)}{dr}$$

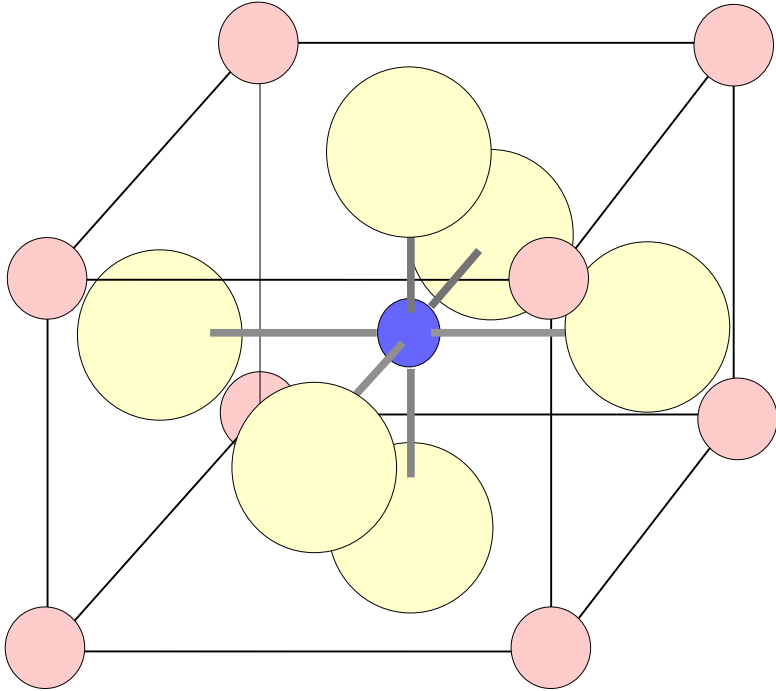
high spin



low spin

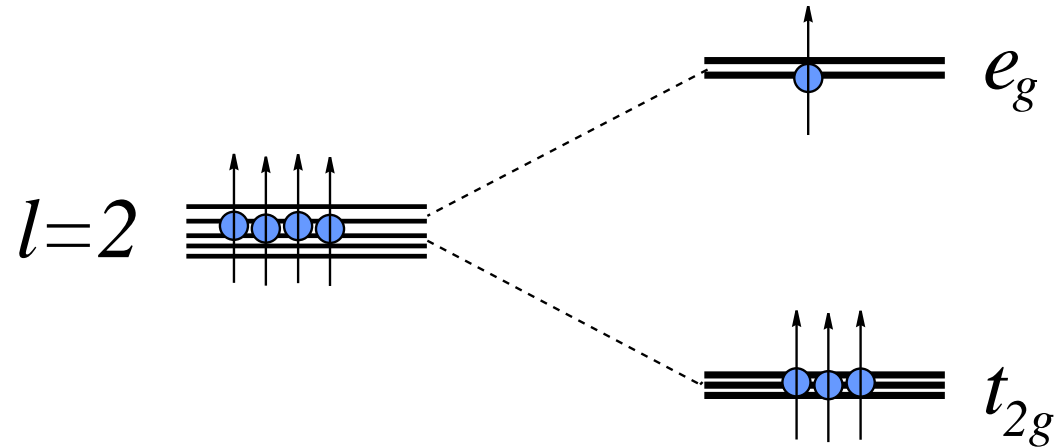


# Orbital States in a Crystal Lattice



# Cubic Lattice Symmetry: Broken Spherical Symmetry

⇒ Crystal Field Splitting:



$$|e_g\rangle = \{|x^2 - y^2\rangle, |z^2 - r^2/3\rangle\}$$

$$|t_{2g}\rangle = \{|xy\rangle, |yz\rangle, |zx\rangle\}$$

# Curie's Law

Consider a set of identical atoms with spin  $J$ .

$$\vec{\mu} = -g\mu_B\mathbf{J}$$

Partition Function:

$$Z = e^{-\beta F} = \sum_{m=-J}^J e^{-\beta\gamma H m} = \frac{e^{\beta\gamma H(J+1/2)} - e^{-\beta\gamma H(J+1/2)}}{e^{\beta\gamma H/2} - e^{-\beta\gamma H/2}}$$

where  $\gamma = g\mu_B$ .

$$M = -\frac{N}{V} \frac{\partial F}{\partial H} = \frac{N}{V} \gamma J B_J(\beta\gamma J H)$$

where the Brillouin function:

$$B_J(x) = \frac{2J+1}{2J} \coth \frac{2J+1}{2J} x - \frac{1}{2J} \coth \frac{1}{2J} x$$



In the limit of  $k_B T \gg \gamma H$ ,

$$\coth x \approx \frac{1}{x} + \frac{x}{3} + \dots$$

$$B_J(x) \approx \frac{J+1}{3J} x + o(x^3)$$

Curie's law: ( $k_B T \gg g\mu_B H$ )

$$\chi_C = \frac{N}{V} \frac{(g\mu_B)^3}{3} \frac{J(J+1)}{k_B T}$$



# Spin Hamiltonian: A Simple Model for the Exchange Interactions

When  $U \gg W$ , the localized states of  $|\Psi_o\rangle$  becomes stable relative to the band (molecular) state  $|\Psi_2\rangle$ :

$$|\Psi_o\rangle = \frac{1}{\sqrt{2}} [|\phi_\alpha, s_1\rangle|\phi_\beta, s_2\rangle - |\phi_\beta, s_2\rangle|\phi_\alpha, s_1\rangle]$$

which is a combination of the localized orbitals  $|\phi_{A,B}\rangle$  instead of the molecular states  $|\psi_\pm\rangle$ .

Here the starting Hamiltonian  $\mathcal{H}_o$  include the interaction term of  $\hat{U}$ :

$$\mathcal{H}_o = h_A + h_B + \hat{U}$$

and the hopping term  $V_{AB}$  should be considered as a perturbation.





# Many-Particle Excited States

Two-particle excited states:

$$|\Psi_o(s_1, s_2)\rangle = |\phi_A, s_1\rangle|\phi_B, s_2\rangle$$

$$|\Psi_A(\uparrow, \downarrow)\rangle = |\phi_A, \uparrow\rangle|\phi_A, \downarrow\rangle$$

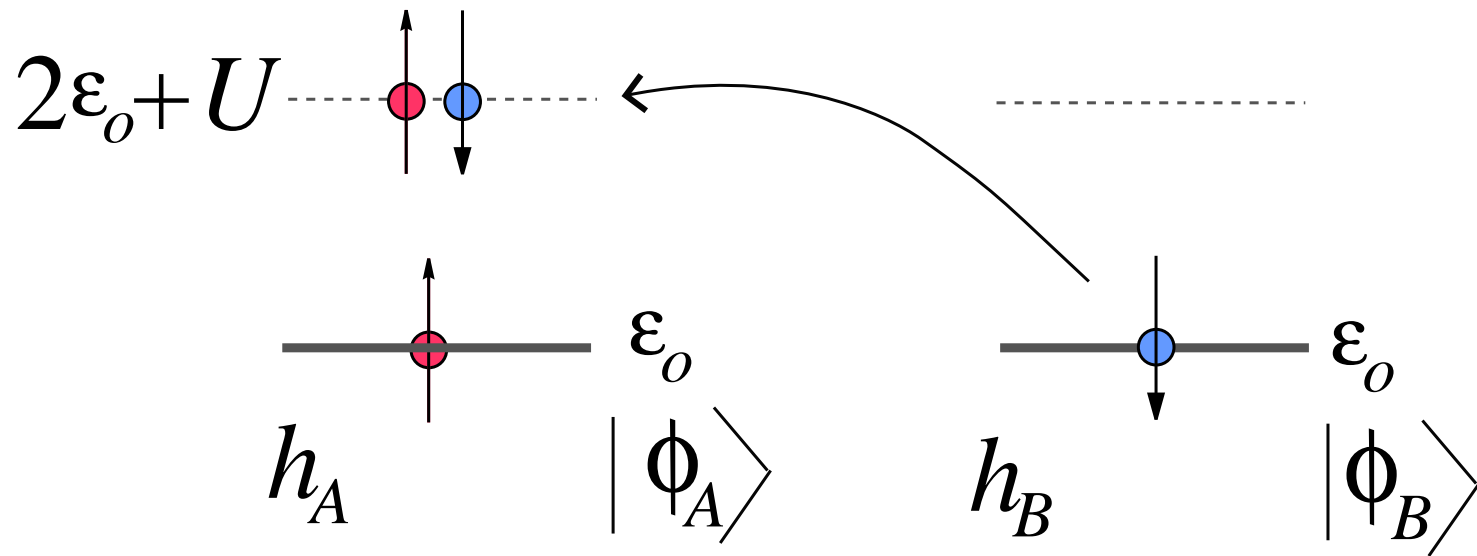
$$|\Psi_B(\uparrow, \downarrow)\rangle = |\phi_B, \uparrow\rangle|\phi_B, \downarrow\rangle$$

$$\mathcal{H}_o|\Phi_o\rangle = 2\varepsilon_o|\Psi_o\rangle$$

$$\mathcal{H}_o|\Phi_A\rangle = (2\varepsilon_o + U)|\Psi_A\rangle$$

$$\mathcal{H}_o|\Phi_B\rangle = (2\varepsilon_o + U)|\Psi_B\rangle$$





Only remaining degrees of freedom of the ground state  $|\Psi_o\rangle$  are

**spins!**

$$|\Psi_p(s_1, s_2)\rangle = |s_1, s_2\rangle$$

Energy Correction via the Perturbation Theory with  $\mathcal{H}_1 = V_{AB}$

- First-order correction:

$$\langle s_1, s_2 | \mathcal{H}_1 | s_1, s_2 \rangle = 0$$

Pauli exclusion prohibits the double occupancy at the same site:

$$|\phi_A, \uparrow\rangle |\phi_A, \uparrow\rangle = 0$$

That is,

$$|\phi_A, s_1\rangle |\phi_A, s_1\rangle = 0$$



- Second-order correction:

$$\langle \uparrow, \downarrow | \mathcal{H}_1 | \Phi_A \rangle = \langle \uparrow, \downarrow | \mathcal{H}_1 | \Phi_B \rangle = t$$

$$\langle \uparrow, \uparrow | \mathcal{H}_1 | \Phi_A \rangle = \langle \uparrow, \uparrow | \mathcal{H}_1 | \Phi_B \rangle = 0$$

$$E_{\uparrow, \uparrow}^{(2)} = - \sum_n' \frac{|\langle \uparrow, \uparrow | \mathcal{H}_1 | \Phi_n \rangle|^2}{E_n - E_o} = 0$$

$$E_{\uparrow, \downarrow}^{(2)} = - \sum_n' \frac{|\langle \uparrow, \downarrow | \mathcal{H}_1 | \Phi_n \rangle|^2}{E_n - E_o} = - \frac{2t^2}{U} = -J_{\text{AF}}$$



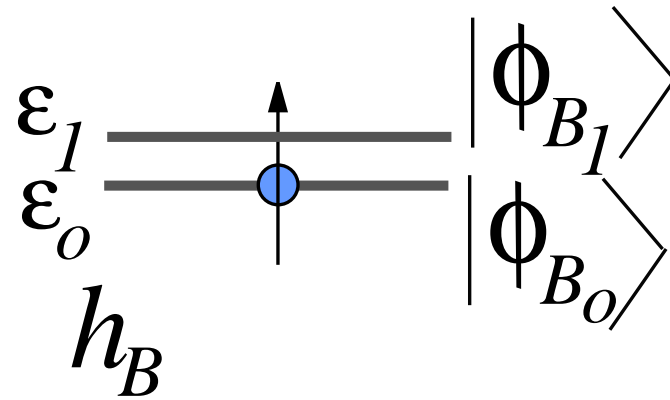
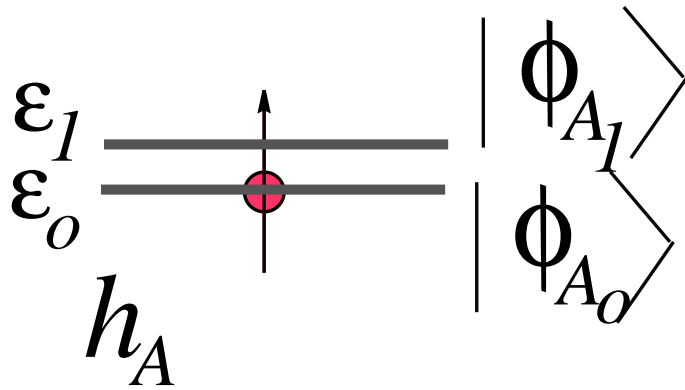
# Anti-Ferromagnetic Superexchange Interactions

$$\mathcal{H}_{\text{eff}} = +J_{\text{AF}}\vec{\sigma}_A \cdot \vec{\sigma}_B$$



# Ferromagnetic Exchange Interactions

What happens if additional degenerate (or almost degenerate) states exist at each atom while  $U \gg W$ ?



Now additional excited states become available:

$$|\Phi_A(\uparrow, \uparrow)\rangle = |\phi_{A_0}, \uparrow\rangle|\phi_{A_1}, \uparrow\rangle$$

and

$$\langle\Phi_0(\uparrow, \uparrow)|\mathcal{H}_1|\Phi_A(\uparrow, \uparrow)\rangle \neq 0$$

$$\mathcal{H}_0|\Phi_A(\uparrow, \uparrow)\rangle = (\varepsilon_0 + \varepsilon_1 + U - J)|\Phi_A(\uparrow, \uparrow)\rangle = E_A(\uparrow, \uparrow)|\Phi_A(\uparrow, \uparrow)\rangle$$

If the exchange energy is larger than the difference  $|\varepsilon_0 - \varepsilon_1|$ , i.e.,

$$J > |\varepsilon_0 - \varepsilon_1|$$

we have

$$E_A(\uparrow, \uparrow) < E_A(\uparrow, \downarrow)$$

Therefore,

$$E_{\uparrow, \uparrow}^{(2)} = -\sum_n' \frac{|\langle\uparrow, \uparrow|\mathcal{H}_1|\Phi_n\rangle|^2}{E_n - E_0} \approx -\frac{2t^2}{U - J} < E_{\uparrow, \downarrow}^{(2)} = -\frac{2t^2}{U}$$



# Ferromagnetic Exchange Interaction

$$\mathcal{H}_{\text{eff}} = -|J_{FM}|\vec{\sigma}_A \cdot \vec{\sigma}_B$$





# Spin Hamiltonian: Heisenberg Model

$$\mathcal{H} = - \sum_{\langle ij \rangle} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j + g\mu_B \mathbf{H} \cdot \sum_i \mathbf{S}_i$$

## Mean field solution:

Introducing an effective field  $\mathbf{H}_{\text{eff}}$ ,

$$\mathcal{H} = - \sum_{\langle ij \rangle} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j + g\mu_B \mathbf{H} \cdot \sum_i \mathbf{S}_i = g\mu_B \sum_i \mathbf{S}_i \cdot \mathbf{H}_{\text{eff}}$$

where the effective mean field

$$\mathbf{H}_{\text{eff}} = \mathbf{H} - \frac{1}{g\mu_B} \sum_j J_{ij} \mathbf{S}_j$$

and the average magnetization

$$\langle \mathbf{S}_i \rangle = \frac{V}{N} \frac{\mathbf{M}}{g\mu_B}$$



$$\mathbf{H}_{\text{eff}} = \mathbf{H} + \lambda \mathbf{M}$$

$$\lambda = \frac{V}{N} \frac{J_o}{(g\mu_B)^2}$$

$$M = -\frac{N}{V} \frac{\partial F}{\partial H} = M_o\left(\frac{H_{\text{eff}}}{T}\right)$$

- For the case of  $H = 0$ ,  
one can find the magnetization  $M$  by solving the equation:

$$M(T) = M_o\left(\frac{\lambda M}{T}\right)$$

$$\chi_o(T) = \left(\frac{\partial M_o}{\partial H}\right)_{H=0} = \frac{M'_o(0)}{T}$$

where the Curie's constant is determined to be  $C_o = M'_o(0)$ .



- For the case of  $H \neq 0$ ,

$$\chi = \frac{\partial M}{\partial H} = \frac{\partial M_o}{\partial H_{\text{eff}}} \frac{\partial H_{\text{eff}}}{\partial H} = \chi_o(1 + \lambda\chi)$$

$$\chi = \frac{\chi_o}{1 - \lambda\chi_o} = \frac{C_o}{T - T_c}$$

where the critical temperature  $T_c$  becomes

$$T_c = \frac{N}{V} \frac{(g\mu_B)^2}{3k_B} S(S+1)\lambda = \frac{S(S+1)}{3k_B} J_o$$

